

Welcome to your Sen4CAP training



The training session will last around 1h30

The slides will be made available on the Sen4CAP website after the training

Presenters:

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Website: http://esa-sen4cap.org/













Overview of the session



- 1) What is Sen4CAP?
 - Sen4CAP system and visualization tool
 - Subsidy application and auxiliary information preparation
- 2) System operation: first steps with the system (presentation and hands-on training using the system web interface)
 - Launch a site in the automatic mode
 - Prepare and upload parcels information
 - Launch additional jobs
 - Access system database
- 3) System installation: ICT requirements and procedure
- Questions and answers

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Overview of the session



1) What is Sen4CAP?

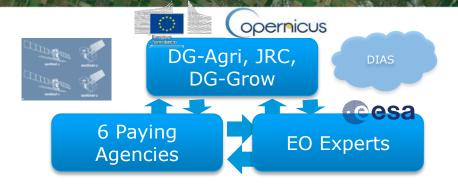
- Sen4CAP system and visualization tool
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CAP monitoring approach - Technology meets Policy







Sen4CAP Objectives

- Provide evidence how Sentinel derived information can support the modernization and simplification of the CAP in the post 2020 timeframe
- Provide validated algorithms, products, workflows and best practices for agriculture monitoring relevant for the management of the CAP



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From prototyping to NRT national demonstrations



Design and prototyping **2017** agri season – local sites

Demonstration and validation

2018 & 2019 agri seasons –

national NRT

Use cases selection

Products Specifications

Benchmarked Methods

Algo & System design

Prototype products

Validation





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Use Cases: Sentinels to support payment decisions



Use case

Crop diversification

Permanent grassland monitoring

EFA-Land lying fallow

EFA-Catch crops

EFA-Nitrogen-fixing crops

Interactive visualization

Land abandonment

LPIS update

Claimless system



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Use Cases: Sentinels to support payment decisions



Use case

Crop diversification

Permanent grassland monitoring

EFA-Land lying fallow

EFA-Catch crops

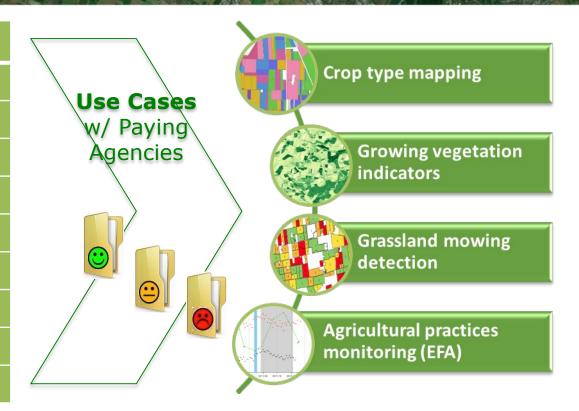
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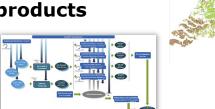
Products Specifications

Benchmarked Methods

Algo & System design

Prototype products

Validation



Use cases demonstration

National scale

Continuous monitoring

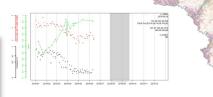
Validation & Fitness-to-use assessment

Capacity building and training

System qualification







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Open source Sen4CAP system (v1.0)



Automated and **modular** system ingesting S1 and S2 time series, demonstrated at **national scale** for **NRT** or off-line production, locally or on the cloud



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Sen4CAP is free and open source Based on open source existing software





Under GNU-GPL License



Based on **Orfeo ToolBox** framework



Cluster-ready architecture for distributed processing



Integration of **SNAP** tools and processing chains



Operational system required : CentOS7 (GNU/LINUX)



PostgreSQL and PostGIS implementation



































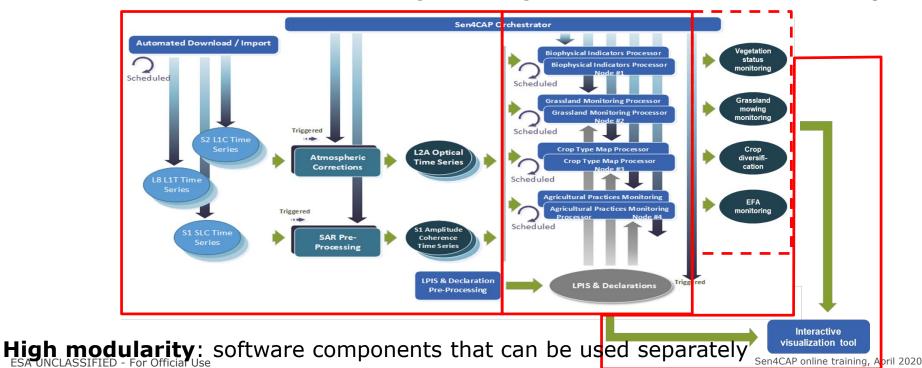




Open source Sen4CAP system (v1.0)

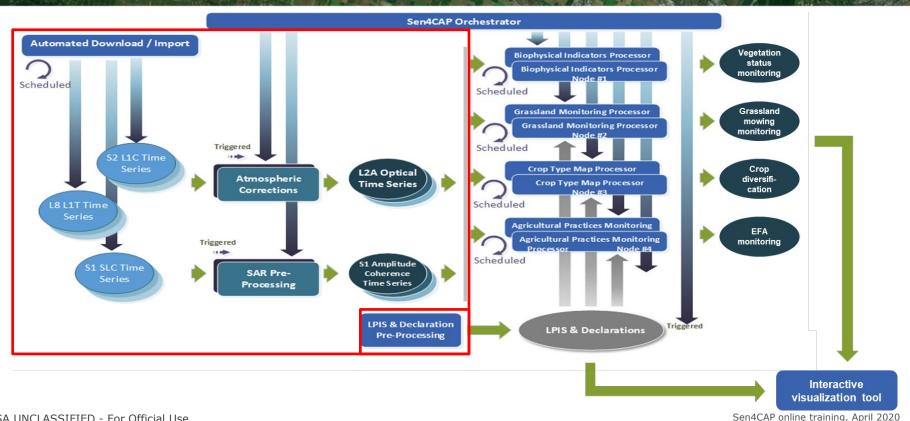


Automated mode: generate markers and products at the parcel-level along the season as Sentinel-1 and Sentinel-2 images are ingested => **Orchestrator concept**



Sen4CAP system – S1/S2 ingestion and preprocessing





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Sentinel-2 pre-processing



- Correcting single-date Level-1C products from the effects of the atmosphere that reduce the quality of the images
- Level-2A products are systematically generated at the ground segment over Europe since March 2018 using Sen2COR processor
- Sen4CAP reads L2A Sen2COR products, but also proposes MAJA as alternative L2A preprocessing module





https://earth.esa.int/web/sentinel/user-guides/sentinel-2-msi/product-types/level-2a

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S1 pre-processing: backscatter and coherence from S1 SLC Interferometric Wide images



• SAR backscatter (after calibration, sigma nought σ 0)

The **SAR backscattering** is a measure of the **outgoing radar signal that the target redirects directly back towards the radar antenna**. It is a measure of the reflective strength of a target. The normalised measure of the radar return from a distributed target is called the backscatter coefficient, or **sigma nought** (σ_0), and is defined as per unit area on the ground. In general, due to the high dynamic of the SAR backscatter coefficient, **the amplitude** = $\operatorname{sqrt}(\sigma_0)$ is preferred for visualization purposes.

SAR Coherence

The coherence, which assume values in the range [0.0, 1.0], gives an **estimation of changes in the scene taking into account variation of the phase of the backscattered radar signal:** high coherence (close to 1.0) implies that the scene is steady (e.g. urban areas, bare soil, rocks and so on), low coherence indicates changes between the two acquisition dates.

The coherence is **calculated from a couple of SAR images acquired from the same** orbit (in order to have significant coherence values the images must be acquired with similar sight of view). The high revisit time of Sentinel-1 mission allows to calculate **short term coherence** from couples of images acquired one **6 days** from the other.

https://earth.esa.int/handbooks/asar/CNTR5-2.html

























Sentinel-1 time series



Sentinel-1 backscatter in VV and VH polarization (weekly mosaics)



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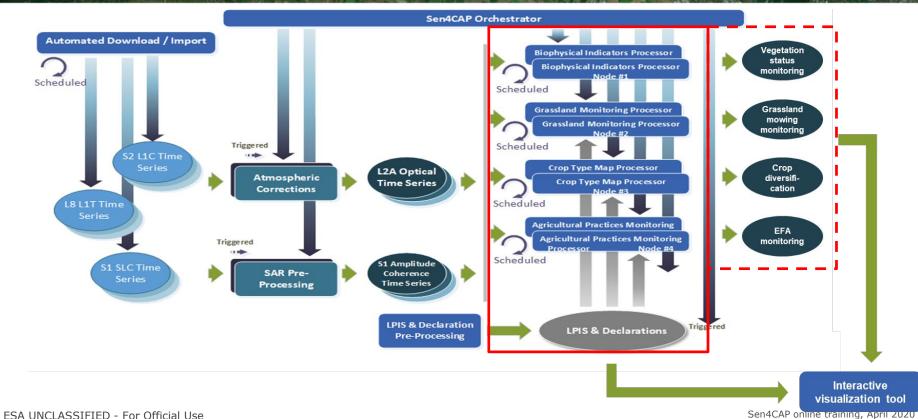






Sen4CAP system – Markers and Products





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Large dataset of markers from S1 & S2 for a national coverage

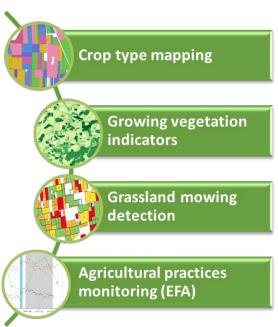


Sen4CAP system to process in near-real time S1 and S2 full time series





Metrics / markers stored for each LPIS/GSAA parcel

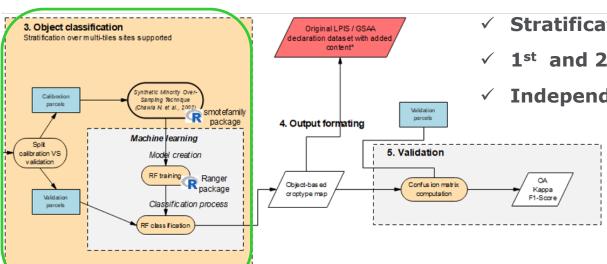


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Crop type map – Fine-tuned Random Forest



Crop type classification



- ✓ Optimized parcels selection for calibration
- **Focus on minor crops**
- **Stratification**
- 1st and 2nd most probable crop types
- **Independent validation**



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http://esa-sen4cap.org/content/technical-documents

































S1 & S2 markers extraction



Sentinel-2

- Temporal resampling, with gap filling (every 10-day)
- 8 spectral values
- > 10m: green (B3), red (b4), NIR (B8)
- > 20m: red-edge (B5-6-7), SWIR1 (B11) and SWIR2 (B12)
- Computation of 3 spectral indices: NDVI, NDWI, brightness
- For all spectral bands and spectral indices: mean and standard deviation at the parcel-level
- -> 22 metrics by parcel every 10 days

Sentinel-1

- 10 indices every 6 days
- > coherence / amplitude in VV / VH + amplitude ratio
- > ascending / descending
- For these 10 indices: mean and standard deviation at the parcel-level
- Computation of temporal indicators
- ➤ Whole period, 2 months, 1 month
- > Coefficient of variation
- ➤ Quantile 10

-> **20 metrics** by parcel every 6 days + temporal markers



























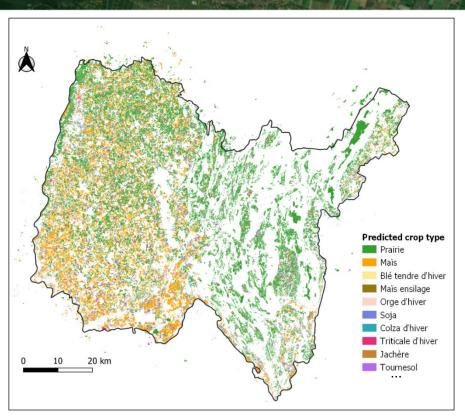


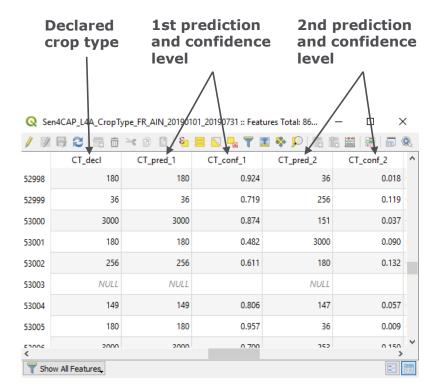




2019 Ain crop type map – End of July







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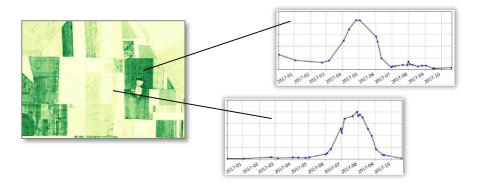


Biophysical indicators – LAI, FAPAR, FCover retrieval using BV-Net approach





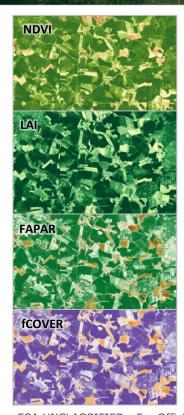
4 indicators about the evolution of the green vegetation corresponding to the vegetative development of the crop



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Biophysical indicators – LAI, FAPAR, FCover retrieval using BV-Net approach





4 indicators about the evolution of the green vegetation corresponding to the vegetative development of the crop

- Optical pre-processing for S2 (and L8)
- All spectral bands are used, except the blue one
- Acquisition of the geometry (sun zenith angle, view zenith angle, relative azimuth angle)
- BV-Net approach developed by Weiss et al. (2002) from INRA
 - PROSPECT&SAIL Radiative Transfer Model are used to simulate surface reflectance for a wide range of soils and vegetation
 - The simulations are used to train a Artificial Neural Network for each of the 3 targeted biophysical variables
 - The Neural Network is applied to real Sentinel-2 acquisitions
 - It does not require in situ data for calibration

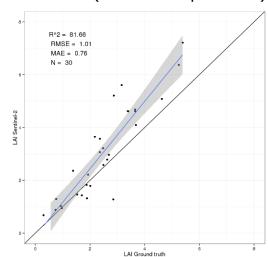
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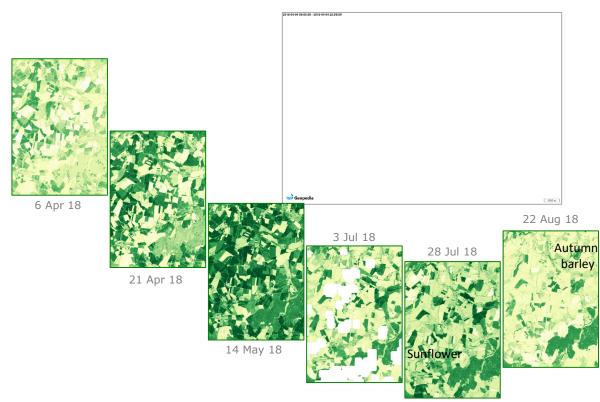
LAI time series at 10-m



Belgium

30 fields (wheat and potatoes)





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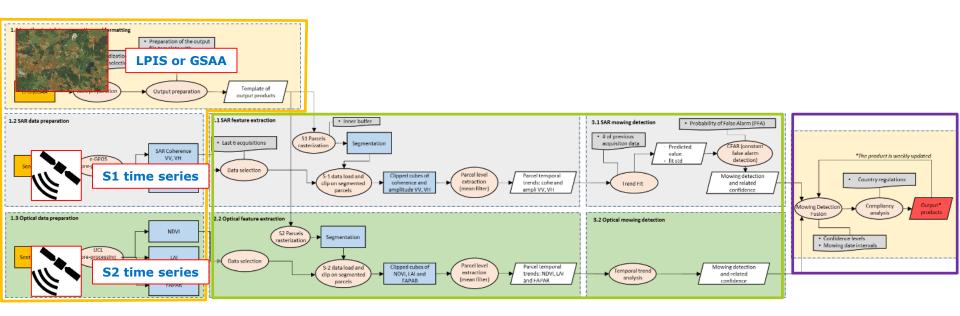
Grassland mowing detection from S1 and S2



Input data

S2 and S1 Detection algorithm

Detection fusion and compliancy assessment



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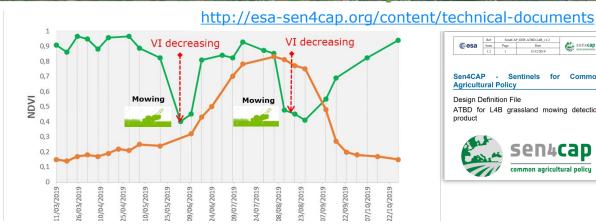




S1 & S2 time series analysis

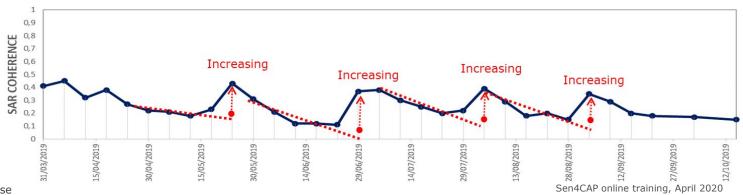








S₁ **Coherence**



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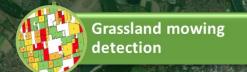








Grassland mowing product



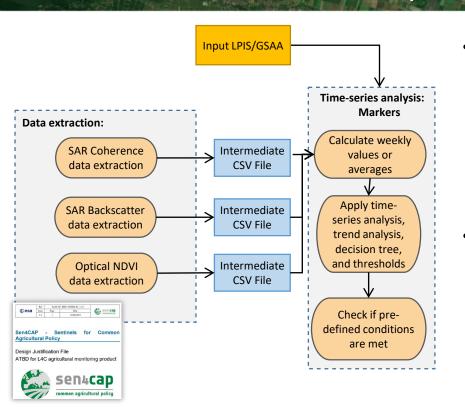
 Grassland mowing product contains, for each parcel, information about number and temporal intervals of mowing events detected



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Agricultural practices monitoring from Sentinel-1 and Sentinel-2 markers analysis





Analysing the dense S1 and S2/L8 time series per parcel

VV-VH

Backscatter

Coherence

Applying decision trees to determine the degree of compliancy of the declared agricultural practice



http://esa-sen4cap.org/content/technical-documents



Related to vegetation state or vegetation change on a parcel

| MARKERS FOR HARVEST | | |
|-------------------------------|--|--|
| M1 | M1: Presence of vegetation in the main vegetation season (pre-requisite) | High values of NDVI |
| M2 | M2: Loss of vegetation | Break in NDVI (decrease) |
| M3 | Loss of vegetation | Break in backscatter ratio (increase) |
| M4 | Low/no vegetation | High values of backscatter ratio |
| M5 | Low/no vegetation (stable conditions) | Break in VV Coherence (increase) or high values of VV Coherence |
| MARKERS FOR DECLARED PRATICES | | |
| M6 | Presence of vegetation | High values of NDVI |
| M7 | Growth of vegetation | Break in NDVI (increase) |
| M8 | No loss of vegetation | No break in NDVI (decrease) |
| M9 | No loss of vegetation | No increase of the backscatter ratio |
| M10 | Presence of vegetation (dynamic conditions) | No Break in VV Coherence (increase) and no high values of VV Coherence |

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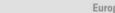






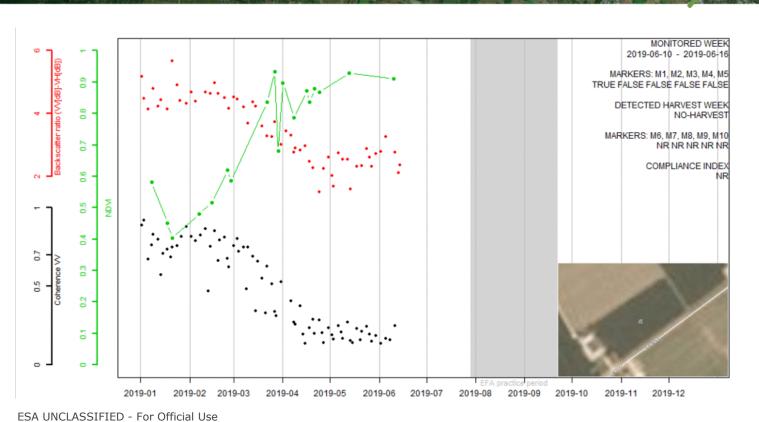






Monitoring of harvest/clearance of a parcel with winter wheat + catch crop (NLD)





Catch crop in the period from 29.7.2019 to 29.9.2019

Area: 2.5 ha

























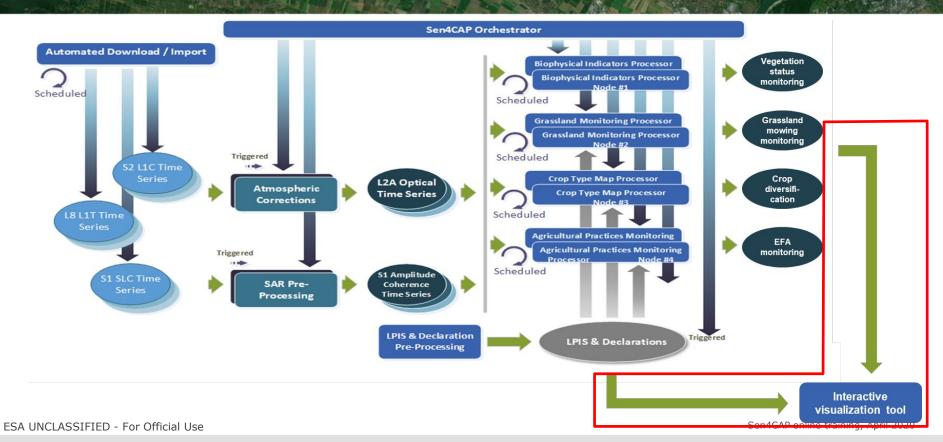






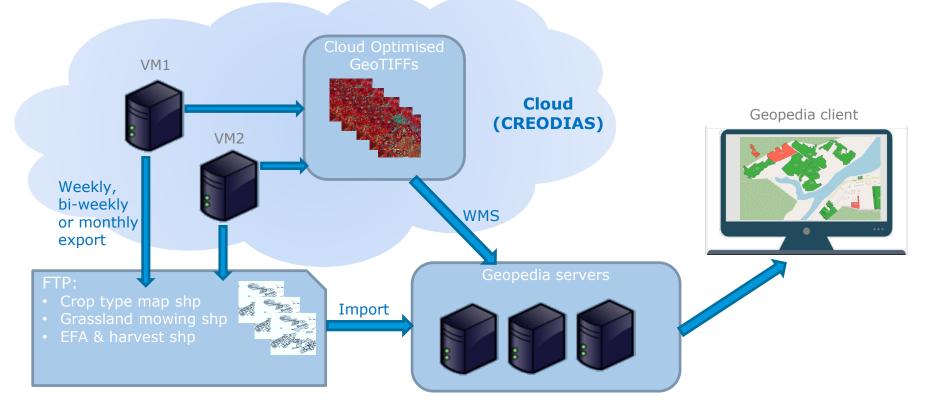
Sen4CAP system - Visualization tool





Visualization tool to access all markers and products at parcel-level (Web application)

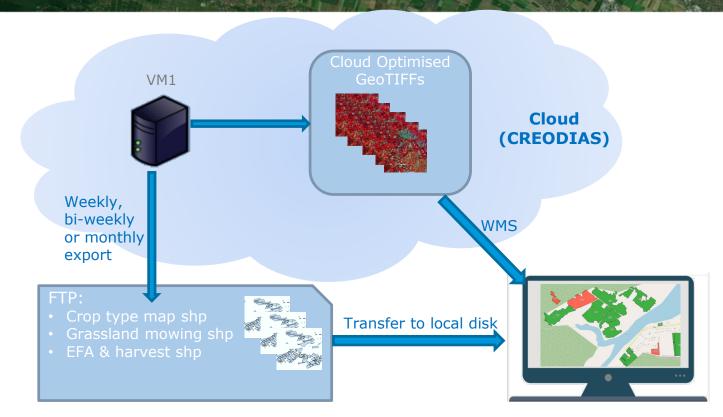




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Exploring the products in Qgis





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- 4) Questions and answers

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Subsidy applications layer and auxiliary information preparation



- 1) System operation: continuous monitoring
- 2) List of files to upload
- 3) Area of interest (AOI)
- 4) Subsidy applications layer (parcels)
- 5) L4A crop type (LUT)
- 6) L4B grassland mowing detection (configuration file)
- 7) L4C agricultural practices monitoring (configuration file and practice table)
- ⇒ We are still working to better document some of these files
- ⇒ Examples of all these files are given on the website: http://esa-sen4cap.org/content/data

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Subsidy applications layer and auxiliary information preparation



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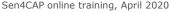












System operation: continuous monitoring



- System designed to run in continuous mode -> continuous monitoring
- At the beginning of the season:
 - No parcel with declaration: crop type, practice, etc.
 - BUT, it is important to launch the preprocessing of the EO data (S1, S2 and L8), which represents the highest part of the processing time
- When the first version of the subsidy applications layer (parcels) is available:
 - ☐ Upload in the system
 - + upload of needed auxiliary information for the use of the advanced processors (L4A crop type, L4B grassland mowing detection and L4C agricultural practices monitoring)



























Start of the monitoring period

At the beginning of the

season: System initialization

| Sen4CAP system: main parameters settings | | | | | |
|--|---|--|--|--|--|
| Area of Interest (AOI) | Shapefile to be uploaded | | | | |
| Monitoring period | Start, mid- and end dates to be defined | | | | |
| S1+S2 / S1+S2+L8 | L8 to be selected | | | | |

When launched, the system will begin to:

- Download/access the low-level products (S1, S2 and L8 Level-1 data)
- Preprocess these data:
- ⇒ S2 and L8: atmospheric correction and cloud detection
- ⇒ S1: backscattering and weekly coherence computation (VV & VH)
- **Generate biophysical indicators** from S2 and L8 cloud-free observations (if activated)





During of the monitoring period

End of the monitoring period

Before the generation of advanced products (L4x processors):

Subsidy applications layer and auxiliary information upload

| Sen4CAP system: auxiliary information | | | | | |
|---|--|--|--|--|--|
| Parcels (shp) | Subsidy applications layer | | | | |
| Tables and config files (cfg and csv) | L4A crop type LUT L4B config file L4C config file + agri practices tables | | | | |

Then, the system is able to **run** advanced processors:

- L4A crop type
- L4B grassland mowing detection
- L4C agricultural practices monitoring

AUTOMATIC using by-default scheduling































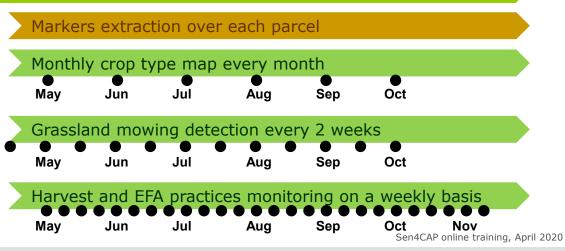
01012019

A5105/2019

S1 pre-processing (backscaterring and weekly coherence, VV & VH)

S2 and L8 pre-processing (atmospheric correction and cloud detection)

Biophysical indicators generation from S2 and L8 cloud-free observations (NDVI, LAI, FAPAR, FCover)





2 operating modes:

Automated mode through the web interface

- a) Based on the Orchestrator with by-default parameterization, automatic data download/access and processing until the end of the season, on-time delivery => operational scenarios
- b) Processor execution on user request, with by-default parameterization, with the *Scheduled job* approach

Manual mode: to run processors independently, with custom parameters

- a) Through the web interface, with the *Custom job* approach
- b) In command lines through a Linux console



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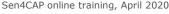












List of files to upload



- At the beginning of the monitoring period:
 - ☐ Area of Interest (AOI) 1 shapefile
- Before the generation of advanced products (L4x processors):
 - □ Subsidy applications layer (parcels) 1 shapefile
 - ☐ L4A crop type: crop code Look-Up-Table (LUT) 1 csv table
 - ☐ L4B grassland mowing detection: configuration file 1 cfg file
 - L4C agricultural practices monitoring:
 - Configuration file → 1 cfg file

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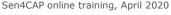










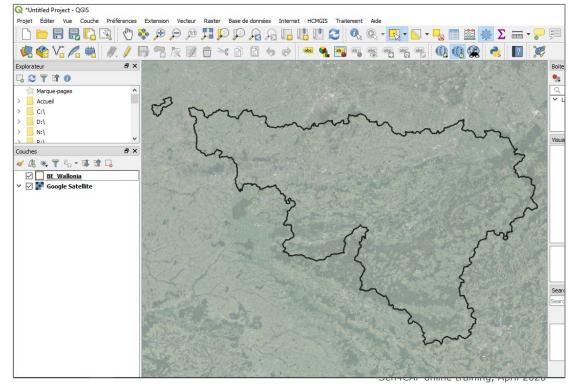


Area of interest (AOI)



- <u>FORMAT</u>: **zip file**, containing a shapefile
- ⇒ Mandatory files: .dbf, .prj, .shp, .shx
- PROJECTION:WGS84/UTMzoneXXX
- Will only be used to define the area where the system will look at S1, S2 and L8 data

Example: Wallonia (BE)







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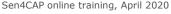












Subsidy applications layer (parcels)



- <u>FORMAT</u>: **zip file**, containing a shapefile
- ⇒ Mandatory files: .dbf, .prj, .shp, .shx
- PROJECTION: any projection
- ⇒ This projection will be used as the projection of the advanced products
- Must contain <u>3 INFORMATION</u> (in the attribute fields table):
 - □ **Unique id of the parcel** (txt or num): can be contained in one attribute field or via the concatenation of several attribute fields
 - □ Holding id of the parcel (txt or num):
 - ⇒ Only used for the crop diversification use case
 - ⇒ If not interested, create one field with a unique value
 - ☐ Crop code of the parcel (txt or num): code used to defined the crop type













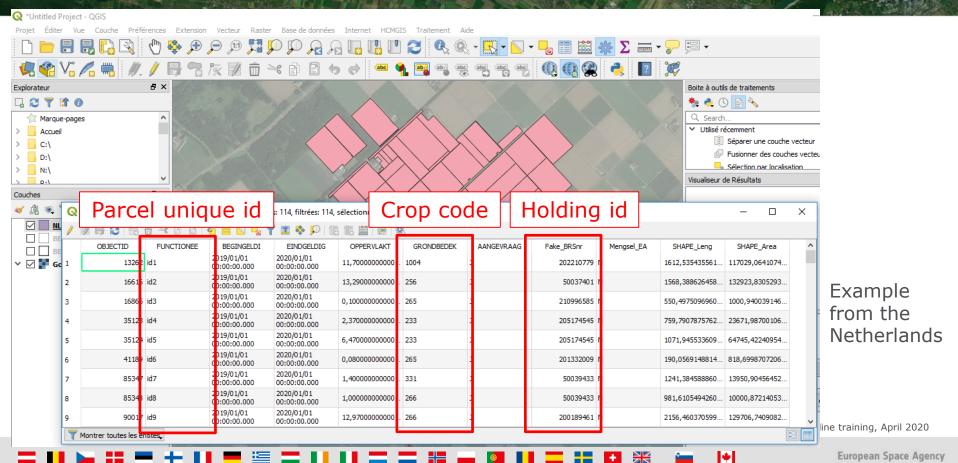






Subsidy applications layer (parcels)







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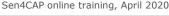












L4A crop type LUT



- FORMAT: csv file
- CONTENT: for each crop type
 - List of all the original crop code from the subsidy applications layer (parcels)
 - Definition of the high-level land cover category
 - 1 = Annual crop
 - 2 = Permanent crop
 - 3 = Grassland
 - 4 = Fallow land
 - 5 = Greenhouse and nursery
 - 0 = Other natural areas
 - Definition of the groups for the classification
 - Information for the crop diversification use case























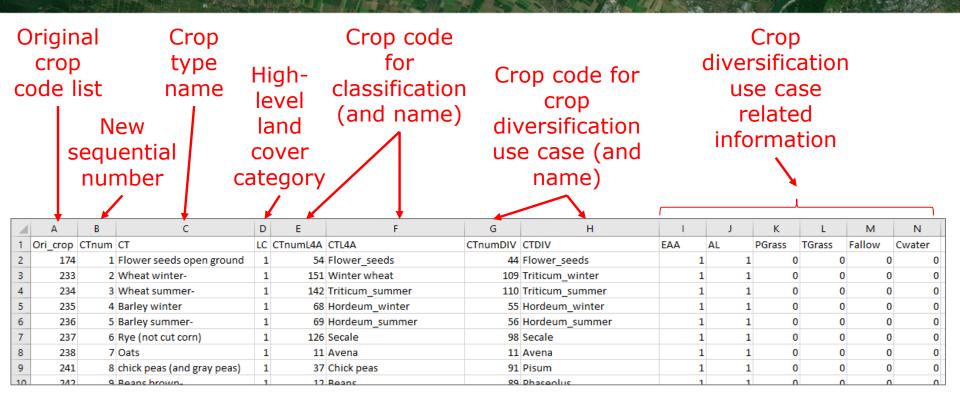






L4A crop type LUT





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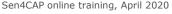












L4B grassland mowing detection (configuration file)



- <u>FORMAT</u>: **cfg file** (can be adapted using any notepad)
- CONTENT:
 - Algorithm parameters

```
; Netherlands
prod_type_list = SNDVI
sc_fact = 1000
corrupted_th = 0.1
invalid_data = -10000
decreasing_abs_th = 0.12
decreasing_rate_th = -0.000001
increasing_rate_th = 0.9
high_abs_th = 0.75
low_abs_th = 0.5
```

These parameters can be adapted to better fit with the region specificities in terms of grassland growing conditions and agricultural practices

Ex. from the 7 pilot countries























L4B grassland mowing detection (configuration file)



- <u>FORMAT</u>: **cfg file** (can be adapted using any notepad)
- <u>CONTENT</u>:

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- Algorithm parameters
- ☐ Rules corresponding to each grassland type

```
; Netherlands

crop_codes = 265, 331, 336, 266, 332, 33

crop_time_intervals = ('01/04/2019', '31

('01/04/2019', '31/10/2019'), ('01/04/20

('01/04/2019', '31/10/2019'), ('01/04/20

('01/04/2019', '31/10/2019')

crop_rule = 0, 0, 0, 0, 0, 0, 0, 0, 0
```

It defines the monitoring periods during which a grassland mowing event must be observed, to be compliant

European Space Agency



- 1) System operation: continuous monitoring
- 2) List of files to upload
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- 4) Subsidy applications layer (parcels)
- 5) L4A crop type (LUT)
- 6) L4B grassland mowing detection (configuration file)
- 7) L4C agricultural practices monitoring (configuration file and practice table)

= 11

























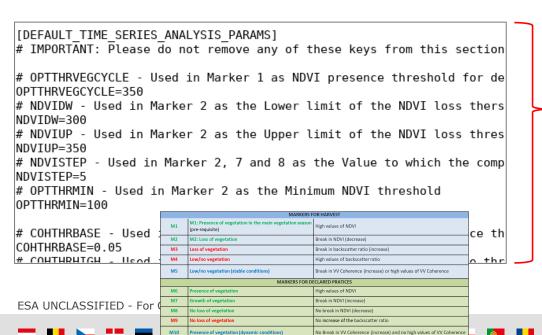




L4C agricultural practices monitoring (configuration file)



- <u>FORMAT</u>: **cfg file** (can be adapted using any notepad)
- <u>CONTENT</u>:
 - ☐ Algorithm parameters corresponding to each marker



These parameters can be adapted to better fit with the region specificities in terms of crop growing conditions and agricultural practices

Ex. from the 7 pilot countries

Sen4CAP online training, April 2020

European Space Agency

L4C agricultural practices monitoring (configuration file)



- <u>FORMAT</u>: **cfg file** (can be adapted using any notepad)
- CONTENT:
 - ☐ Algorithm parameters corresponding to each marker
 - ☐ Corrections for each monitored practice

```
[CC TIME SERIES ANALYSIS PARAMS]
       CC CATCHMAIN="CatchCrop 3"
       CC CATCHPERIODSTART="${YEAR}-07-15"
       CC NDVIUP=500
       CC AMPTHRMIN=0.2
       CC COHTHRBASE=0.1
       CC COHTHRABS=0.7
       CC_EFAAMPTHR=0.03
       CC_AMPTHRBREAKDEN=3
       CC AMPTHRVALDEN=3
       [FL TIME SERIES ANALYSIS PARAMS]
      # Section not used
       [NFC TIME SERIES ANALYSIS PARAMS]
      # Section not used
ESA UND [NA_TIME_SERIES_ANALYSIS_PARAMS]
       NA NDVIUP=500
       NA AMPTHRMIN=0.2
```

```
NA = Harvest

➤ CC = Catch Crop

FL = Fallow Land

NFC = Nitrogen Fixing Crop
```

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NA_AMPTHRMIN=0.2 NA_COHTHRBASE=0.1

















L4C agricultural practices monitoring (practice table)



- <u>FORMAT</u>: csv files (1 for each monitored practice)
- <u>CONTENT</u>: for each monitored practice
 - ☐ List of parcels to be monitored and associated practice
 - ☐ Time ranges of monitored practices

| | Α | В | С | D | Е | F | G | Н | 1 |
|----|----------|-----------|-----------|----------|----------|--------------|--------------|----------|-----------|
| 1 | FIELD_ID | MAIN_CROP | VEG_START | H_START | H_END | PRACTICE | P_TYPE | P_START | P_END |
| 2 | id36 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_3 | 15-10-19 | NA |
| 3 | id46 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 4 | id56 | 2708 | 20-05-19 | 03-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 5 | id66 | 1044 | 20-05-19 | 03-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 6 | id76 | 2014 | 20-05-19 | 10-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 7 | id86 | 3804 | 20-05-19 | 20-05-19 | 15-10-19 | CatchCropIsi | CatchCropIsI | 15-05-19 | 7/15/2019 |
| 8 | id96 | 372 | 20-05-19 | 20-05-19 | 15-10-19 | CatchCropIsI | CatchCropIsI | 15-05-19 | 7/15/2019 |
| 9 | id106 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 10 | id116 | 05/ | 20.05.10 | 02.06.10 | 15 10 10 | CatchCron | CatchCrop 1 | 15 10 10 | NIA |

Ex. of catch crop table

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L4C agricultural practices monitoring (practice table)



- ⇒ VEG_START to H_END = **vegetation period** (crop growing period)
- ⇒ H_START to H_END = **harvest period** (period when the harvest must be observed)

Of the main crop

⇒ P_START to P_END = **practice period** (period when the agricultural practice (catch crop) must be observed)

| | Α | В | С | D | E | F | G | Н | |
|----|----------|-----------|-----------|----------|----------|--------------|--------------|----------|-----------|
| 1 | FIELD_ID | MAIN_CROP | VEG_START | H_START | H_END | PRACTICE | P_TYPE | P_START | P_END |
| 2 | id36 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_3 | 15-10-19 | NA |
| 3 | id46 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 4 | id56 | 2708 | 20-05-19 | 03-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 5 | id66 | 1044 | 20-05-19 | 03-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 6 | id76 | 2014 | 20-05-19 | 10-06-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 7 | id86 | 3804 | 20-05-19 | 20-05-19 | 15-10-19 | CatchCropIsI | CatchCropIsI | 15-05-19 | 7/15/2019 |
| 8 | id96 | 372 | 20-05-19 | 20-05-19 | 15-10-19 | CatchCropIsI | CatchCropIsI | 15-05-19 | 7/15/2019 |
| 9 | id106 | 233 | 20-05-19 | 15-07-19 | 15-10-19 | CatchCrop | CatchCrop_1 | 15-10-19 | NA |
| 10 | id116 | 05/ | 20.05.10 | 02 06 10 | 15 10 10 | CatchCron | CatchCron 1 | 15 10 10 | NIA |

Ex. of catch crop table

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